



1309.43472X00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Homare OKAMOTO et al.  
Serial No.: 10/769,927  
Filed: February 3, 2004  
For: CHANNEL ADAPTER AND DISK ARRAY DEVICE  
Group: 2652  
Examiner: Not yet assigned

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97 & 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

September 14, 2004

Sir:

In the matter of the above-identified application, Applicants are submitting herewith a copy of a communication from a foreign patent office in a counterpart foreign application and copies of the documents listed in the attached form equivalent to Form PTO-1449 for the Examiner's consideration.

This information disclosure statement is being submitted before the mailing date of a first office action on the merits.

To the extent the documents listed on the attached form equivalent to Form PTO-1449 are not in the English language, the requirement of 37 CFR §1.98(a)(3) for a concise explanation of the relevance is satisfied by an English language abstract of the document.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus Deposit Account No. 01-2135 (referencing attorney docket no. 1309.43472X00) please credit any excess fees to such deposit account.

Respectfully submitted,



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SHEET 1 OF 1

**FORM PTO-1449** U.S. Department of  
Commerce (Rev. 4/92) Patent and Trademark  
Office

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.

**1309.43472X00**

SERIAL NO.

**10/769,927**

APPLICANT

**Homare OKAMOTO et al.**

FILING DATE

**February 3, 2004**

GROUP

**2652**

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	<b>5,905,854</b>	<b>5-1999</b>	<b>NIELSON et al.</b>			
	<b>2002/0009721</b>	<b>1-2003</b>	<b>HSU et al.</b>			
	<b>5,477,552</b>	<b>12-1995</b>	<b>NISHIYAMA</b>			
	<b>6,161,207</b>	<b>12-2000</b>	<b>LOCKHART et al.</b>			

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	ABSTRACT	
						YES	NO
	<b>JP 8-314822</b>	<b>11-1996</b>	<b>Japan</b>			<b>X</b>	
	<b>JP 5-143472</b>	<b>6-1993</b>	<b>Japan</b>				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])